

1 **Study on Method of Electricity and Heat Storage Planning Based on Energy Demand and Tidal**
2 **Flow Velocity Forecasts for a Tidal Microgrid**

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18
19 **Abstract**

20 The rapid tidal current near a lake inlet is transformed into electrical energy with Darius-type
21 hydraulic turbine generators. When the tidal power generation is insufficient, the stored excess
22 electric power generated from midnight to early morning of a representative day is used. The
23 balance of energy supply and demand for all sampling events in a representative day must be
24 predicted very accurately in a system with energy storage. In this study, electric power and heat
25 demand are forecasted on the basis of weather data obtained from the Internet, and the
26 corresponding values are used to plan the storage of electricity and heat from midnight to early
27 morning. The results of the case analysis show the influence of the economic efficiency of the
28 heating system, the capacity of the tidal power generator, the prediction error of the tidal power
29 generator, and the insulation efficiency (Q-value) on the energy cost. Optimization of the introduced
30 simulation model was considered. The objective functions of optimization were minimization of
31 operation cost and facilities cost of the simulation model.

33 Keywords: Local energy, Tidal power generation, Microgrid, Energy storage, Numerical simulation,
34 Operation analysis

35

36 **1. Introduction**

37 It is expected that the spread of distributed power supply systems will contribute to the expansion
38 of green energy and the development of new town planning. However, because maintenance costs of
39 using several facilities will be required if electric power is supplied from conventional, large-scale
40 electric-power systems (e.g., nuclear power generation) to distant areas with low population
41 densities, the unit price of electric power will increase. Moreover, it is economically difficult to
42 introduce an electric power grid with the same high reliability in areas with low population density
43 than in urban areas. However, when a distributed energy system of local supply and local
44 consumption is introduced into an area of low population density or low energy demand, the
45 potential arises for reducing the size of the transmission network, the unit price of electric power in
46 the urban area, and environmental impacts. Because many researchers believed that producing
47 energy using fossil fuels or nuclear power was lesser expensive than using green alternatives, the
48 development of local, green energy systems in Japan has been drastically slow until recently.
49 However, considering the sharp rise in nuclear power generation issues, the increasing cost of fossil
50 fuels, and the energy security necessity, distributed power supplies using local energy can be
51 introduced on a larger scale.

52 Therefore, this study proposes a system that connects a tidal power generator and an existing
53 commercial electric power, and further develops the economics of the system. If a microgrid is
54 constituted from a tidal power generator and electrical storage equipment, a stable supply of energy
55 is technically feasible. However, because electrical storage equipment is expensive, connecting a
56 commercial power system with a tidal power generator is more effective. To contribute to the load
57 leveling of the commercial electric-power system when connecting a tidal power generator with a
58 commercial electric power, the short-term power of the tidal power generator is used and electrical
59 storage is purchased from midnight to early morning. Generally, when load leveling during the day
60 and night, the electric power company receives an off-peak discount for service during the off-peak
61 time (i.e., from midnight to early morning). In this study, TZPC is defined by the valid time zone for
62 the off-peak time discount is the time zone of power rate cuts (i.e., from midnight to early morning),
63 and CDME is defined by the discount service is the power cost discount from midnight to early
64 morning. Many previous studies have demonstrated the use of tidal power generation [1-14].

65 However, examples of a tidal power generation microgrid using the CDME of commercial electric
66 power are not available. In the controller of the proposed system, the amount of tidal power
67 generated, the amount of electric power required, and the heat demand of a representative day are
68 predicted, and electricity storage is planned from the relationship between the prediction results
69 and energy balance using the CDME. When planning for the required electrical storage is
70 inaccurate (i.e., there is insufficient amount of energy), expensive commercial electric power other
71 than CDME will be purchased and the economic efficiency of the proposed system will decrease. To
72 improve the accuracy of the electrical storage plan, weather forecast information is input into the
73 controller of the proposed system using Internet data.

74 In an example analysis, electric power and heat are supplied to two towns and harbor facilities,
75 supposing the introduction of a tidal power generator to Saroma Lake in Japan (Saroma Lake
76 microgrid). The heat pump system and electric storage heaters are introduced as heat equipment
77 because the area surrounding Saroma Lake is cold; the maximum heat demand in one day during
78 winter is 11 times the electricity demand. Therefore, the plan to purchase commercial electric
79 power in the TZPC must predict heat demand (space heating) with high accuracy. The Q-value
80 (heat loss coefficient) [15] is the insulation performance of the building. The error of the outside air
81 temperature using weather forecast information considers the temperature change from the heat
82 demand of the building. The case analysis of the Saroma Lake microgrid clarifies comparisons of
83 the heating system and economic efficiency, the capacity of a tidal power generator and economic
84 efficiency, and the influence of the prediction error of the system controller on energy cost.

85

86 **2. System configuration**

87 *2.1. Saroma Lake microgrid*

88 Saroma Lake in Hokkaido is the third largest lake in Japan; the lake has a rich natural
89 environment and is an important archeological area, including the Sea of Okhotsk and Ainu
90 cultures. Because there is no large-scale electric power plant in the surrounding area, electric power
91 is supplied by a nuclear power station and thermoelectric power plant hundreds of kilometers away.
92 Therefore, it is thought that reducing the amount of power transmitted to the area by introducing a
93 distributed power supply would significantly reduce the facilities and maintenance costs of the
94 electrical power system. In this study, the operation of a system connecting a tidal power generator
95 and a commercial electric power is investigated using the high-speed tidal current in the two inlets
96 of Saroma Lake.

97 The outline of Saroma Lake is shown in Fig. 1. The first lake inlet is approximately 300 m wide,
98 and the second is approximately 75 m wide. A stable tidal current exists in these inlets, and the flow
99 velocity of this tidal current is drastically faster than that of the open sea. Figure 2 shows an
100 example of a finite element analysis showing the tidal-current vectors near both lake inlets. The
101 tidal-current vector is reversed by the flood and ebb tides, resulting in two to five peaks in the speed
102 of the tidal current in one day. As shown in Fig. 1, a tidal power generation system is installed
103 throughout the sea in these lake inlets, and a microgrid that supplies electric power and heat to the
104 surrounding towns (Toetoko and Sakaeura) and harbor facilities is planned. The overall length of
105 the power transmission line of the Saroma Lake microgrid is 25 km. Because the speed of the tidal
106 current is cyclic, a compound energy system using tidal power generation, commercial electric
107 power, and energy storage (electricity and heat storage) is developed. The Saroma Lake microgrid,
108 used to control the compound power system, is described below.

109

110 *2.2. Model of the system*

111 Figure 3 shows the model of the Saroma Lake microgrid prepared by MATLAB/Simulink R 2012a
112 (The Mathworks, Inc., Natick, MA, USA). The left side of the figure shows the power sources and
113 storage battery from bus line SC_tp, and the right side shows the power load and heat storage
114 system. Two or more tidal power generators and commercial electric power, consisting of a blade
115 and three-phase tidal power generator, are used as the supply (power source). A snubber circuit,
116 power conditioner [consisting of alternating current (AC)/direct current (DC), DC/DC, and an
117 inverter], and harmonic filter are installed in each tidal power generator; the stability and quality
118 of the AC power of bus line SC_tp are controlled. The battery can store electricity and discharge
119 electric power to the transmission network at any arbitrary time. In addition to electricity demand,
120 the power load experiences electric power consumption by the heat equipment. A heat pump system
121 or electric storage heaters are introduced as heat equipment. Electric power is supplied to an
122 electric motor to operate a compressor for the heat pump, and it is also supplied to a heat storage
123 medium (electric resistance body) in the electric storage heater.

124

125 *2.3. Energy flow of the system and plan for the operation method*

126 *2.3.1. Energy flow*

127 The characteristics of each operational method and corresponding economic efficiency are
128 independently analyzed. The two operational methods represent different heat supply methods: (i)

129 use of a heat pump system and (ii) use of an electric storage heater system. Figure 4 shows the flow
130 of electric power and heat in the Saroma Lake microgrid system and the input/output signal of the
131 system controller. Figure 4 (a) shows a flow chart of the heat pump system and Fig. 4 (b) shows a
132 flow chart of the electric storage heater system. The surplus power of the microgrid's tidal power
133 generator with the heat pump system can be supplied to the heat pump system and battery. In
134 addition, commercial electric power can be supplied to the demand side, heat pump, and battery.
135 Heat from the heat pump is supplied to a heat storage tank when storage is needed. When
136 supplying heat to the demand side, the heat medium of the heat storage tank is supplied to the heat
137 pump. Figure 4 (b) provides a flow chart of the electric storage heater system. The circulating
138 pumps for the heat storage tank and heat medium are not used by the electric storage heater
139 system. The electric storage heater system, used for all homes that are supplied with electricity, is
140 safe and requires little maintenance. The energy flow described above is controlled by the computer
141 system shown in Figs. 4 (a) and 4 (b) with an optimization program based on weather forecast
142 information. Details of the optimization program are provided in the next section.

143

144 *2.3.2. Operational control using the control computer*

145 On the basis of the balance equations of the weather forecast data and the external electric power
146 and heat inputs, the amount of electrical and heat storage required in the TZPC are predicted using
147 the control computer. The amount of electrical storage and heat storage required in the TZPC (as
148 measured from midnight the previous day (Day_{p-1}) of a representative day (Day_p) to the early
149 morning of Day_p) are predicted on the basis of the results of an operational analysis of the system
150 from the TZPC of one representative day to the TZPC of the next day, as shown in Fig. 5. To
151 determine the optimal amount of electrical and heat storage, it is necessary to forecast the electric
152 power demand, heat demand, and electricity produced by the tidal power generator as accurately as
153 possible. In time periods other than the TZPC, the control computer uses real-time control based on
154 a balance of actual energy supply and demand. The operational plan in the TZPC and details of the
155 real-time control are described below.

156

157 *2.3.3. Operational planning*

158 Weather forecast information is incorporated in the planning of the TZPC from the Internet,
159 using commands from the control computer. The weather forecast information for representative
160 day Day_p includes outside air temperature and tide data (i.e., the difference of the sea-level height

161 of Saroma Lake and the open sea) for every sampling period until the next TZPC. Outside air
162 temperature affects the predictive accuracy of the energy demand of the buildings connected to the
163 microgrid; tide data are required to predict the electricity produced by the tidal current. From the
164 predicted results of energy demand and electricity produced by a tidal power generator and the
165 energy-balance equations, the amount of electric power and heat storage for every sampling period
166 from the TZPC of the representative day Day_p to the next TZPC is estimated. When the storage of
167 electricity and heat for every sampling period is estimated, the amount of electric power and heat
168 stored in the TZPC is estimated by the control computer. The quantity of commercial electric power
169 purchased is at a minimum and the economic efficiency of running the proposed system is at a
170 maximum when the predicted energy demand and the electricity produced by the tidal power
171 generator are equal (i.e., the stored energy exactly meets the need). However, when a prediction
172 error is included in the system operating plan, the following economic demerits exist.

173

174 (1) Electricity storage

175 Figure 6 shows the predictive model of the operation method of proposal system in this study. The
176 surplus power of the energy balance in Fig. 6 is taken into consideration by the amount of charge to
177 the battery in the TZPC. Accordingly, when electric power of tidal power generation exceeds the
178 amount demanded by forecast of the supply-and-demand balance, the surplus power is used by
179 storage of electricity with a time shift. Therefore, surplus power is not sold to a commercial system.
180 A surplus of electricity results in an increase in the amount of self-discharge, an increase in
181 electrical storage loss, and an increase in the required battery capacity of the facility. A shortage of
182 stored electricity leads to the need to purchase commercial electric power at more expensive
183 electric-power unit prices than the CDME.

184

185 (2) Heat storage

186 An operating surplus of heat storage results in an increase in both the heat radiation and the
187 required capacity of the heat storage tank. A shortage of heating energy during operation results in
188 the need to purchase commercial electric power at more expensive electric-power unit prices than
189 the CDME in order to supply to heat pumps or electric storage heaters.

190 Figure 6 presents a schematic of electricity storage in the Saroma Lake microgrid. TZPC (1)
191 represents the time zone from midnight on day Day_{p-1} preceding the representative day Day_p to
192 the early morning of Day_p . The control computer instructs the storage of electricity during each

193 TZPC. From the electric power provided by the tidal power generators (area shaded in blue) and
 194 previously stored electricity and subsequent discharge (white- and red-hatched areas), the
 195 electricity demand (dashed line) of time zones other than the TZPC is provided. When the predicted
 196 energy demand and electricity produced by the tidal power generator are equal, the white- and
 197 red-hatched areas will reach zero just before the start time of the next TZPC (blue circles). However,
 198 if there is more electricity stored than needed, the white- and red-hatched areas will maintain a
 199 positive value at the start time of the next TZPC. Additionally, when there is insufficient stored
 200 electricity, the white- and red-hatched areas will reach zero prior to the start time of the next TZPC.

201

202 *2.4. Error following the operational plan*

203 *2.4.1. Prediction error of the weather forecast information and heat demand*

204 Figure 7 shows the correct hit rate of rainfall prediction from the Meteorological Agency of Japan
 205 from 2004 to 2012 (Fig. 7 (a)) and the error of the forecasted outside air temperature (Fig. 7 (b)) for
 206 the same period [16]. The hit rate of rainfall probability over the nine-year period ranges from 68%
 207 to 89% and that averaged over a year is approximately 80%. The forecast error of outside air
 208 temperature gradually decreases, and the errors in the minimum and maximum temperatures in
 209 2011 and 2012 are 1.8 °C and 2.0 °C, respectively.

210 The Q-value [W/(m²·K)], also called the heat loss coefficient [15], is a measure of the insulation
 211 efficiency of a building, defined by dividing the heat loss of the building by the architectural area.
 212 Although the average Q-value of residences in the Saroma Lake neighborhood is approximately
 213 2.33 W/(m²·K), newer residences often achieve insulation efficiencies near 1.0. If the Q-value is
 214 represented by the average floor area of a building is \bar{S} , the prediction error of the outside air
 215 temperature is Δt , and the heat load of the space heating of the building is \dot{h}_{sh} , then the error
 216 $\Delta \dot{q}_{sh}$ of the heat demand using space heating is calculated using Eq. (1) as follows:

217

$$\Delta \dot{q}_{sh} = QFT \cdot \bar{S} \cdot \Delta t / \dot{h}_{sh} \quad (1)$$

218

219 When the forecast error of the outside air temperature shown in Fig. 7 (b) is applied in Eq. (1), the
 220 error of the heat-demand forecast of space heating shown in Fig. 8 is obtained. Figure 8 shows the
 221 results of analysis for winter (February), spring (April), and summer (August). There is little heat
 222 radiation because smaller Q-values have higher insulation efficiency, leading to a fairly accurate

223 space heating demand based on the forecast error of outside air temperature. The error in space
 224 heating demand from the error of the weather forecast in April becomes uniform at approximately
 225 10% in the case of Q-value = 1.6 W/(m²·K). Because the space heating demand in August is very
 226 small, for the case of Q-value = 1.6 W/(m²·K), the error in the space heating demand from the
 227 weather forecast error is approximately 0%. From Fig. 8, the error in space heating demand from
 228 the weather forecast error is a maximum of approximately 25% for every season.

229 The components of the power load are described by Eq. (2). The electric power load \dot{e}_{load} consists
 230 of loads \dot{e}_{nd} , representing power loads other than heat equipment such as household appliances,
 231 and load \dot{e}_{heat} , which represent loads from the heat equipment. Furthermore, \dot{e}_{heat} comprises load
 232 \dot{e}_{sh} (the load from space heating) and load \dot{e}_{hw} (the power load from the hot water supply). As
 233 described above, \dot{e}_{sh} can have a maximum error of 25%. Because the prediction errors of \dot{e}_{nd} and
 234 \dot{e}_{hw} are small compared to those of \dot{e}_{sh} in a cold region, the details are not investigated in this
 235 study.

236

$$\dot{e}_{load} = \dot{e}_{nd} + \dot{e}_{heat} = \dot{e}_{nd} + (\dot{e}_{sh} + \dot{e}_{hw}) \quad (2)$$

237

238 *2.4.2. Prediction of the tidal power generation*

239 Figure 9 (a) shows the test results of tide levels of the open sea (Sea of Okhotsk) and of Saroma
 240 Lake, and the difference in tide level between the sea and the lake. The tidal-current speed in
 241 August 5–19, 2010 [17] is also shown. Figure 9 (b) shows the results in August 7–10, 2010. The
 242 speed of the tidal current in Fig. 9 is the north–south component in a water depth of 6 m in the first
 243 inlet of Saroma Lake. Flow from Saroma Lake to the Sea of Okhotsk occurs during the ebb tide
 244 (flowing north) and that the Sea of Okhotsk to the Saroma Lake (flowing south) occurs during the
 245 flood tide. Tidal currents occur because a difference in tide level arises between the open sea and
 246 the lake owing to changes in the tide level of the Sea of Okhotsk. The flow velocity following the ebb
 247 and flood tides peaks two to five times each day. Because the direction of the tidal current is
 248 reversed by the flood and ebb tides, the rotation direction or direction of the tidal power generator
 249 must be reversible. The tide-level difference \dot{L}_d and tidal-current speed \dot{v}_w are expected to have
 250 a strong relationship; therefore, the approximate expression shown in Eq. (3) is introduced as
 251 follows:

252

$$\dot{v}_w = C_{lm} \cdot (-\dot{L}_d) \quad \text{where } C_{lm} \text{ is a constant.} \quad (3)$$

253

254 C_{lm} in Eq. (3) is a proportionality factor obtained from correlation of test results for tide-level
 255 difference \dot{L}_d and tidal-current speed \dot{v}_w . When the cycle of the tide-level difference (Fig. 9 (a)) is
 256 reversed and a proportionality coefficient ($C_{lm} = 5.8$) is given on the basis of Eq. (3), as shown in
 257 Figure 10, the tidal-current speed is strongly correlated against the tide-level difference. Because
 258 details of the tide-level difference at arbitrary times are released by the Coast Guard of Japan [18],
 259 the speed and tidal current at any time can be easily predicted. Figure 11 shows the tidal-current
 260 speed prediction for each tide-level difference \dot{L}_d for February 5–19 (winter), April 5–19 (spring),
 261 and August 5–19 (summer) in 2010, obtained using $C_{lm} = 5.8$ in Eq. (3).

262 Figure 12 shows the difference between the predicted tidal power generation integrated over each
 263 day and the production of electricity obtained from the actual tidal-current speed integrated over
 264 each day in August 5–19, 2010. The error range is approximately 10–25%, but a maximum value of
 265 32% was recorded on August 17, 2010. Although it is easier to predict energy production for tidal
 266 power generation than for photovoltaics or wind power generation, the inaccuracy of the Saroma
 267 Lake microgrid in this study exceeds 30% at times.

268

3. Energy-balance equations and calculation formula for economic efficiency

269

3.1. Power balance

270 Equations (4) and (5) represent the power balances at a specific sampling time for the power- and
 271 heat-distribution networks in the Saroma Lake microgrid. The left-hand side of Eqs. (4) and (5)
 272 represents electric power outputs, and the right-hand side represents electric power consumption.
 273 Commercial electric power (\dot{e}_{cp}), current power generators of N_{td} sets, and battery power (\dot{e}_{bto})
 274 are supplied to a power network by the Saroma Lake microgrid. Electric power is consumed by the
 275 electricity demand (\dot{e}_{nd}), the accumulation of electricity in the battery (\dot{e}_{bti}), the heat pumps of N_{hp}
 276 sets or the electric storage heaters of N_{eh} sets, and the heat loss of N_{loss} sets. As shown in Eq. (6),
 277 electricity demand \dot{e}_{nd} is the sum of the time-averaged electricity demands \dot{e}_{ndT} for the Toetoko
 278 town of N_T residences, \dot{e}_{ndS} for the Sakaeura town of N_S residences, and electricity demand of
 279 the corresponding port facilities \dot{e}_{portT} and \dot{e}_{portS} . Heat losses at inverters and converters, and
 280 power transmission losses are included in the electric-power loss \dot{e}_{loss} .

282

$$\dot{e}_{cp} + \sum_{i=1}^{N_{td}} \dot{e}_{td,i} + \dot{e}_{bto} = \dot{e}_{nd} + \dot{e}_{bti} + \sum_{j=1}^{N_{hp}} \dot{e}_{hp,j} + \sum_{k=1}^{N_{loss}} \dot{e}_{loss,k}, \quad (4)$$

$$\dot{e}_{cp} + \sum_{i=1}^{N_{td}} \dot{e}_{td,i} + \dot{e}_{bto} = \dot{e}_{nd} + \dot{e}_{bti} + \sum_{l=1}^{N_{eh}} \dot{e}_{eh,l} + \sum_{k=1}^{N_{loss}} \dot{e}_{loss,k}, \quad (5)$$

$$\dot{e}_{nd} = N_T \cdot \dot{e}_{ndT} + N_S \cdot \dot{e}_{ndS} + \dot{e}_{portT} + \dot{e}_{portS}. \quad (6)$$

283

284 3.2. Heat energy equations

285 Equations (7) and (8) are the heat balance equations for the Saroma Lake microgrid accompanied
 286 by a heat-pump system and electric storage heater system, respectively. The left-hand side of Eq. (7)
 287 represents the heating power of the heat pump (\dot{h}_{hp}) and heat storage tank (\dot{h}_{sto}); the right-hand
 288 side includes heat demand (\dot{h}_{nd}), heat storage (\dot{h}_{sti}), and radiation loss (\dot{h}_{loss}). Here, $\dot{h}_{hp,j}$ is
 289 $\dot{C}_j \cdot \dot{e}_{hp,j}$, where \dot{C}_i is the coefficient of performance of the heat pump j and $\dot{e}_{hp,i}$ is the power
 290 supply to j . In the heat-pump system, heat is stored in a heat storage tank using a heat medium; in
 291 the electric storage heater system, heat is stored by turning on electricity to the electric storage
 292 heaters installed in each room. The left-hand side of Eq. (8) represents the heating power of the
 293 electric storage heaters (\dot{h}_{eho}); the right-hand side represents the heat demand (\dot{h}_{nd}), heat storage
 294 (\dot{h}_{ehi}) of the electric storage heaters, and radiation loss (\dot{h}_{loss}). The efficiency of thermal storage in
 295 the electric storage heater j is $\eta_{eh,j}$, the electric power supplied to j is $\dot{e}_{eh,j}$, and the heating value
 296 that can be output from the electric storage heaters is $\dot{h}_{eho,j} = \eta_{eh,j} \cdot \dot{e}_{eh,j}$.

297

$$\sum_{j=1}^{N_{hp}} \dot{h}_{hp,j} + \dot{h}_{sto} = \sum_{j=1}^{N_{hp}} (\dot{C}_i \cdot \dot{e}_{hp,j}) + \dot{h}_{sto} = \dot{h}_{nd} + \dot{h}_{sti} + \dot{h}_{loss}, \quad (7)$$

$$\sum_{l=1}^{N_{eh}} \dot{h}_{eho,l} = \dot{h}_{nd} + \sum_{l=1}^{N_{eh}} \dot{h}_{ehi,l} + \dot{h}_{loss}. \quad (8)$$

298

299 3.3. Calculation formula for economic efficiency

300 With respect to the economic efficiency of the Saroma Lake microgrid, only the facilities costs and
 301 power rates are considered in this study. Maintenance costs are not included in the facilities cost.
 302 The equipment cost of the Saroma Lake microgrid using the heat pump system and electric storage
 303 heater system is calculated using Eqs. (9) and (10), respectively. The unit prices for each piece of
 304 equipment are V_{td} (a tidal power generator), V_{bt} (a battery), V_{hp} (a heat pump), V_{eh} (an electric
 305 storage heater), V_{st} (a heat storage tank), L_{tl} (a transmission line), and V_{eq} (auxiliary

306 machinery, i.e., various types of converters, inverters, safety devices, and system interconnection
 307 equipment and/or a control device). The total equipment cost of the heat pump system or electric
 308 storage heater system is calculated using the number and unit price of each piece of equipment
 309 introduced into the system.

310

$$P_{hp} = u_{id} \cdot V_{id} + u_{bt} \cdot V_{bt} + \sum_{j=1}^{N_{hp}} (u_{hp} \cdot V_{hp,j}) + u_{st} \cdot V_{st} + u_{tl} \cdot L_{tl} + \sum_{m=1}^{N_{eq}} (u_{eq} \cdot V_{eq}), \quad (9)$$

$$P_{eh} = u_{id} \cdot V_{id} + u_{bt} \cdot V_{bt} + \sum_{l=1}^{N_{eh}} (u_{eh} \cdot V_{eh,l}) + u_{tl} \cdot L_{tl} + \sum_{m=1}^{N_{eq}} (u_{eq} \cdot V_{eq}). \quad (10)$$

311

312 The purchase price of commercial electric power consists of usage charges determined by peak
 313 load and a commodity charge determined by consumption. Equation (11) represents the electricity
 314 rate of the Saroma Lake microgrid. The first term on the right-hand side of Eq. (11) is the electric
 315 commodity charge and the second term is the monthly usage charge. The usage charge is
 316 determined by the maximum load of the commercial electric power used in one year.

317

$$P_{op} = \sum_{n=1}^{N_{month}} (u_{uc} \cdot \dot{q}_{uc,n}) + \sum_{m=1}^{N_{month}} (P_m). \quad (11)$$

318

319 Figure 13 shows the commodity charge of electric power by CDME (peak-control-type electric
 320 power: Dream 8) [19] of Hokkaido Electric Power Co., Inc. The unit prices [u_{uc} in Eq. (11)] of the
 321 commodity charge of Dream 8 differ by season, time zone, and the quantity purchased \dot{q}_{uc} . The
 322 time zone for low power rates, TZPC, ranges from 22:00 to 06:00 h of the next morning in this case.
 323 Figure 14 shows the monthly electric-power usage charges in Dream 8. Usage charges are constant
 324 when the amount of electric power used per month is 10 kWh or less. However, if the amount of
 325 electric power used in a month exceeds 10 kWh, usage charges will increase in proportion to the
 326 amount used.

327

328 4. Operational example

329 This section provides an example of providing energy to the Saroma Lake surrounding area using
 330 the proposed system. As shown in Fig. 1, there are 227 households comprising 853 individuals in
 331 Toetoko and Sakaeura, and there are harbor facilities around Saroma Lake in each town. In this

332 analysis, electric power and heat are supplied to meet the demand of the population described above
333 using the Saroma Lake microgrid.

334

335 *4.1. Energy demand*

336 Figure 15 shows the electric power and heat demand that are supplied to a surrounding area
337 from the Saroma Lake microgrid. The energy-demand characteristics shown in Fig. 15 were
338 calculated from the average energy demand of Hokkaido, Japan. Moreover, the power demand of
339 two harbor facilities was 60 kW in one year. Because the heat load of the equipment is not included
340 in the electricity demand of the months shown in Fig. 15 (a), there is no a significant difference
341 between the months. In the heat load shown in Fig. 15 (b), however, the magnitude of the load
342 changes significantly each month. The heat-to-power ratios on each representative day in February,
343 April, and August are 11.0, 5.2, and 1.0, respectively.

344

345 *4.2. Analytic conditions*

346 The characteristics of the equipment used in the Saroma Lake microgrid are listed in Table 1.
347 Table 2 provides the unit prices of the equipment used in the analysis based on current pricing. In
348 the operational analysis of the system, both the heat-pump system and electric-storage heater
349 system are analyzed. The tidal power generators, a battery, heat pumps or electric storage heaters,
350 and a heat-storage tank are included in the equipment cost, but the transmission line and auxiliary
351 machinery are not included.

352

353 *4.3. Method of operation*

354 The time zone of the TZPC by application of the CDME is set from 22:00 to 06:00 h of the next day,
355 assuming the same rate structure as Dream 8 of Hokkaido Electric Power Co., Inc. shown in
356 Figures 13 and 14. The pattern of electricity and heat demand is shown in Fig. 15. Although heating
357 demand takes a large power load, the prediction error of the heating demand is set to 40% of the
358 maximum in order to exceed the results of the investigation shown in Fig. 8. The prediction error of
359 the tidal power generator is set to 40% of the maximum, exceeding the 33% maximum error shown
360 in Fig. 12. The prediction error of the power demand and tidal power generation is modeled by
361 adding the error by a random number to the characteristics shown in Figs. 11 and 15.

362 Figure 16 shows the analysis flow of the proposed system. The analysis consists of three steps
363 (STEP 1–3). The power demand (ΔE_{day_p}), heat demand (ΔH_{day_p}), and tidal power generation

364 (E_{td,day_p}) from 22:00 h on the previous day (Day_{p-1}) of representative day (Day_p) to 22:00 h on Day_p ,
365 (24 h from the TZPC start time of Day_{p-1} to just before the TZPC start time of Day_p) are predicted
366 using Eqs. (12) and (13) in STEP 1. However, the kinetic energy of the tidal power generator (\dot{p}_w) is
367 defined as the inflow mass (m_w) of the tidal current to area S for 1 s; the speed of the tidal current
368 is \dot{v}_w , calculated by Eq. (14). The data from the 5th to 19th of every month, shown in Fig. 11, are
369 used for the tidal-current speed in Eq. (14). The quantity of electric power to be stored, E_{bt,day_p} ,
370 predicted by STEP 1, is charged to \dot{e}_{bti} in STEP 2 in the time range of the TZPC (8 h), as shown in
371 Eq. (15). As shown in Eq. (16), the power supply (\dot{e}_{sti}) for heat storage during the TZPC changes
372 with the use of a heat-pump system or electric storage heater system.

373

$$E_{bt,day_p} = \Delta E_{day_p} - E_{td,day_p} + \Delta E_{loss,day_p}, \quad (12)$$

$$H_{st,day_p} = \Delta H_{day_p} + \Delta H_{loss,day_p}, \quad (13)$$

$$\dot{p}_w = \frac{1}{2} \cdot m_w \cdot \dot{v}_w^2 \quad \text{where, } m_w = \rho_w \cdot S \cdot v_w, \quad (14)$$

$$\dot{e}_{bti} = (E_{bt,day_p} / \eta_{cd}) / 8. \quad (15)$$

$$\text{In the case of the heat pump system, } \dot{e}_{sti} = (H_{st,day_p} / \dot{C}) / 8. \quad (16)$$

$$\text{In the case of the electric storage heater system, } \dot{e}_{sti} = H_{st,day_p} / 8.$$

374

375 Control in actual time is assumed in STEP 3. In real-time control, when the energy-balance
376 equations [from Eq. (4) to Eq. (8)] of electric power and heat are not filled with a sufficient amount
377 of accumulated electricity or heat, the control computer orders the purchase of commercial electric
378 power. The purchase of commercial electric power during a time range other than the TZPC leads to
379 an increase in energy cost. The objective function of the system is minimization of the power rates
380 shown in Eq. (11). Accordingly, the economic efficiency of a system is evaluated in the sum total of
381 the electric commodity charge and the monthly usage charge.

382

383 5. Results of the operational example

384 5.1. Operational method and economic efficiency

385 Figure 17 shows the results of the operational analysis of the Saroma Lake microgrid
386 accompanied by the heat pump system in February (winter), April (spring), and August (summer) of
387 a typical year. Figure 18 shows the results of the system accompanied by the electric storage
388 heaters. One hundred sets of tidal power generators are introduced in the analytic results shown in

389 Figs. 17 and 18 (a–d), which illustrate the quantities of electric power purchased (apparent power),
390 electricity accumulated, and heat stored, and the ratio of tidal power generation to the electric
391 power supply. Operations of energy storage are the method shown in Fig. 16. In the analysis
392 described in Section 4.3, the initial values of the amount of electricity accumulated and heat stored
393 are set to zero, and a significant amount of electric power is purchased in the TZPC on the first day
394 of each month. Owing to a large change in tidal-current speed during April 15–17, 2010 (shown in
395 Fig. 11) and low heat demand in spring, the accumulation of electricity significantly changes after
396 April 15. The time zone that shows a negative accumulation of electricity in Figs. 17 (b) and 18 (b)
397 occurs during the TZPC, and the control computer commands the system to accumulate electricity
398 by purchasing electric power via the CDME. A large load (charge and discharge) is added to a
399 battery according to the characteristics of both the load and tidal-current speed. Figs. 17 (c) and 18
400 (c) show the operational results of heat storage. Because a heat storage loss occurs in the
401 heat-storage tank of the system using the heat pump, heat-storage capacity becomes greater in this
402 system than in the system using the electric storage heater. Figures 17 (d) and 18 (d) show the ratio
403 of the tidal power generation ($\sum_{i=1}^{N_{td}} \dot{e}_{td,i}$ in the representative day) to the power load (electric power
404 used in E_{bt,day_p} and H_{st,day_p}) of the representative day. For a significant heat demand to be
405 required in February, the ratio of tidal power generation to power load is low. The tidal-current
406 speed during the period April 15–19 is large (Fig. 11 (b)) and the heat demand is small, causing the
407 ratio of tidal power generation to demand to be extremely high.

408

409 *5.2. Amount of tidal power generation introduced and economic efficiency*

410 Figure 19 shows the analytical results of the commodity charge (usage charges not included) of
411 electric power for operation of the proposed system with 100 sets of tidal power generators. The
412 purchase charges for electric power in the system containing the electric storage heater are 2.8, 2.2,
413 and 1.2 times that of the system containing the heat pump in February, April, and August,
414 respectively. Introduction of the heat pump system in cold regions is highly effective in reducing the
415 electric-power commodity charge.

416 Figure 20 shows the number of tidal power generators installed, the analytical results of the
417 electricity rate in each month, and the equipment cost. The usage charges for commercial electric
418 power are generally the same over a one-year cycle; usage charges (Fig. 14) fixed from the
419 maximum monthly load are shown in Fig. 20. Compared to the power rates, the equipment cost is

420 significant. In particular, the battery requirement and the cost of the heat equipment required to
421 satisfy the heat demand in February are both large. When supplying the same amount of energy as
422 the proposed system with a conventional commercial electric power supply with a heat pump
423 system of COP = 3.5 (see left-hand side of Fig. 20), the costs are as follows: 9.3×10^6 JPY, $5.7 \times$
424 10^6 JPY, and 3.4×10^6 JPY (usage charges 1,627.5 JPY/month-building) in February, April, and
425 August, respectively. A unit price of 25.37 JPY/kWh was used for electric power in the calculations.
426 Because the purchase of commercial electric power will decrease when the number of tidal power
427 generators installed increases (Fig. 20), the power rates of the proposed system decrease. The
428 system with the heat pump requires lesser commercial electric power to be purchased than the
429 system with the electric storage heater. However, numerous tidal power generators must be
430 introduced for the power rates of the proposed system with electric storage heaters to be lesser than
431 those of the conventional system. At the equipment cost shown in the right-hand side of Fig. 20, the
432 battery capacity for storing electric power by tidal power generation increases, requiring additional
433 tidal power generators to be introduced into the system. Moreover, the equipment cost of the electric
434 storage heater system is significantly lower than that of the heat pump system. Even if the
435 difference in the purchase cost of commercial electric power is considered, the difference in the
436 equipment cost described above is irrecoverable for decades. In other words, the equipment cost of
437 the proposed system is significantly higher than the purchase price of commercial electric power.
438 Therefore, with the present equipment costs, the superiority or inferiority of the proposed system is
439 decided by the magnitude of the equipment cost rather than by the difference in the quantity of
440 commercial electric power purchased. Although there are fewer occasions to purchase commercial
441 electric power using the heat pump system compared to the electric storage heater system, when
442 equipment cost is considered, the electric storage heater is advantageous from an economic
443 perspective. Realization of the proposed system is strongly controlled by equipment costs, the
444 magnitude of which depends strongly on the costs of the battery and tidal power generators in
445 addition to the cost of the heat equipment.

446

447 *5.3. Prediction error of energy demand and influence on economic efficiency*

448 Figure 21 shows the results of examination of the influence of the commodity charge on electric
449 power by the prediction error of electricity demand and heat demand. Because power consumption
450 by the electric storage heater is larger than that of a heat pump under the same space-heating load,
451 the influence of prediction error is greater in the system with electric storage heaters. In the electric

452 storage heater system, the prediction error range is 40% of the electricity and heat demand, and the
453 maximum purchase error of commercial electric power is 12%. In contrast, the maximum purchase
454 error of commercial electric power is 3.5% for the heat pump system.

455 Figure 22 shows the results of the examination of the influence of the tidal power generation
456 prediction error on the commodity charge of electric power. As shown in Fig. 21, the system
457 accompanied by the electric storage heaters is more affected by the influence of the tidal power
458 generation prediction error than is the proposed heat pump system. For the electric storage heater
459 system, when the prediction error of the electricity produced by tidal power generation is 40%, the
460 maximum purchase error of commercial electric power is 11.5%. In contrast, the maximum
461 purchase error of the commercial electric power for the heat pump system is 3.9%. These results
462 indicate that prediction error affected the proposed system with the heat pump significantly lesser
463 than the system with the electric storage heater.

464

465 **6. Conclusions**

466 Introduction of the proposed microgrid into a cold region with a large heat-to-power ratio was
467 investigated by numerical analysis, from which the following conclusions were obtained.

468 (1) Because buildings differ in insulation efficiency, space-heating loads also differ for a given
469 outdoor air temperature. Thus, in this study, the magnitudes of the building heat loads were
470 calculated by introducing the heat loss coefficient (Q-value). From the results described previously
471 and the magnitude of the error of current weather forecast information (outside air temperature),
472 the prediction error of the space heating load of buildings was estimated (with a maximum value of
473 27.5%). Next, the load change (40%) exceeding the maximum error was applied to the proposed
474 system at random, showing the influence of the quantity of commercial electric power purchased. In
475 the range of a 40% prediction error in space-heating load, the maximum purchase error of
476 commercial electric power was 12% for the system containing an electric storage heater and 3.5%
477 for the system using heat pumps. The proposed system with heat pumps is fairly insensitive to the
478 prediction error of weather-forecast information.

479 (2) When the number of tidal power generators increases, the battery capacity for storing the
480 electric power of the generators also increases. Although the purchase of commercial electric power
481 in the system with heat pumps is lower than that in the system with an electric storage heater, the
482 equipment cost begins to negate that advantage. The difference in the equipment cost described
483 here is significant compared to the difference in the purchase cost of commercial electric power.

484 Therefore, with the current cost of the heat equipment used in the proposed system, introduction of
 485 electric storage heaters is advantageous. Because the equipment cost of the system proposed in this
 486 study is much higher than the purchase price of commercial electric power, realization of the
 487 proposed system is strongly controlled by the equipment cost. Careful development of the heat
 488 equipment, battery, and tidal power generator, and minimization of equipment cost are crucial to
 489 realization of the system.

490 (3) Because the power supply in the system with electric storage heaters is larger than that of the
 491 heat pump system under the same space heating load, the prediction error of the amount of
 492 electricity produced by the tidal power generator has a greater effect on the system with the electric
 493 storage heater than on the system with heat pumps. When the prediction error of the tidal power
 494 generation of the system with the electric storage heaters was in the range of 40%, the purchase
 495 error of commercial electric power was the maximum, 11.5%. In contrast, the maximum prediction
 496 error in the system with heat pumps was 3.9%. The proposed system with heat pumps is insensitive
 497 to the prediction error of energy demand and supply compared to the system with electric storage
 498 heaters.

499

500 Nomenclature

| | |
|-------------------------|--|
| \dot{C} | : Coefficient of performance of the heat pump |
| C_{im} | : Constant |
| Day_p | : Representative day |
| E_{bt,day_p} | : Energy to be stored in the battery on a representative day [kWh] |
| E_{td,day_p} | : Tidal power generation of a representative day [kWh] |
| ΔE_{day_p} | : Electricity demand of a representative day [kWh] |
| $\Delta E_{loss,day_p}$ | : Electricity loss of a representative day [kWh] |
| \dot{e} | : Electric power load [kW] |
| H_{st,day_p} | : Heat storage of a representative day [kWh] |
| ΔH_{day_p} | : Heat demand of a representative day [kWh] |
| $\Delta H_{loss,day_p}$ | : The heat loss in a representative day [kWh] |
| \dot{h} | : Heat load [kW] |
| $\Delta \dot{h}_{sh}$ | : Heat load of space heating [kW] |
| JPY | : Japanese Yen [Yen] |

| | |
|----------------------|---|
| \dot{L}_d | : Tide level difference [m] |
| L_{tl} | : Unit price of transmission line [JPY/m] |
| m_w | : Mass [kg] |
| N | : Number |
| P | : Cost [JPY] |
| P_m | : Usage charges [JPY] |
| \dot{P}_w | : Kinetic energy [kW] |
| QFT | : Q-value [kW/(m ² ·K)] |
| $\Delta\dot{q}_{sh}$ | : Error of the heat demand of space heating |
| \dot{q}_{uc} | : Amount of electricity used [JPY/kWh] |
| S | : Channel area [m ²] |
| \bar{S} | : Floor area of buildings in the service area [m ²] |
| $\Delta\dot{t}$ | : Maximum predicted error of the outdoor air temperature [K] |
| u | : Amount of introduction |
| u_{uc} | : Unit price of power [JPY] |
| V | : Unit price [JPY] |
| \dot{v}_w | : Tidal-current speed [m/s] |

Greek characters

| | |
|----------|--|
| ρ_w | : Density of seawater [kg/m ³] |
| η | : Efficiency |

Subscripts

| | |
|--------|---|
| bt | : Battery |
| bti | : Battery charge |
| bto | : Battery discharge |
| cd | : Charge and discharge of the battery |
| cp | : Commercial power |
| eh | : Electric storage heater |
| ehi | : Charge of the electric storage heater |
| eho | : Output of the electric storage heater |
| eq | : Auxiliary machinery |
| $heat$ | : Heat |
| hp | : Heat pump |
| hw | : Hot water supply |
| $load$ | : Load |
| $loss$ | : Loss |
| nd | : Demand |

op : Electric charges (Commodity and usage charges)
port : Port
S : Sakaeura town
sh : Space heating
st : Heat storage tank
sti : Heat input to the heat storage tank
sto : Heat output from the heat storage tank
T : Toetoko town
td : Tidal

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565 **Figure and table captions**

566

567 Fig. 1 Electric-power system of the Saroma Lake microgrid

568 Fig. 2 Tidal flow velocity

569 (a) Flow-velocity vector in the first lake inlet, 02:10 h, August 13, 2010 flood tide, 4.5 m water depth.

570 (b) Flow-velocity vector in the second lake inlet, 08:50 h, August 12, ebb tide, 1.0 m water depth.

571 Fig. 3 Proposed system of the Saroma Lake microgrid (SLMG)

572 Fig. 4 Energy flow and control signals

573 (a) Microgrid with a heat pump system

574 (b) Microgrid with an electric storage heater

575 Fig. 5 Schedule of the operational planning analysis

576 Fig. 6 Operational method of the Saroma Lake microgrid

577 Fig. 7 Weather-forecast errors from 2004 to 2012 in Japan

578 (a) Results of the hitting ratio for the occurrence of rain

579 (b) Results of the forecast error for air temperature

580 Fig. 8 Fluctuation in seasonal heat demand in buildings with various Q -values

581 Fig. 9 Relationship between height of the Sea of Okhotsk, level difference, and tidal current velocity

582 (a) August 5–19, 2010

583 (b) August 7–10, 2010

584 Fig. 10 Predicted tidal current values: 8/5/2010–8/19/2010

585 Fig. 11 Predicted tidal current values for three seasons.

586 (a) February 5–19, 2010.

587 (b) April 5–19, 2010.

588 (c) August 5–19, 2010.

589 Fig. 12 Predicted error for tidal current velocity (August 2010)

590 Fig. 13 Electric power commodity charge (2010)

591 (a) From December to March

592 (b) From April to November

593 Fig. 14 Usage charges of purchased power

594 Fig. 15 Power demand of the Saroma Lake microgrid

595 (a) Electric power demand

596 (b) Heat power demand

597 Fig. 16 Operational method of the proposed microgrid system

598 Fig. 17 Results of analysis of the Saroma Lake microgrid with 100 sets of tidal power generators
599 and a heat pump system

600 (a) Purchase of apparent power during three seasons
601 (b) Electric power storage
602 (c) Heat power
603 (d) Ratio of tidal power generation to electricity demand

604 Fig. 18 Results of analysis of the Saroma Lake microgrid with 100 sets of tidal power generators
605 and an electric storage heater system.

606 (a) Purchase of apparent power during three seasons
607 (b) Electric power storage
608 (c) Heat power
609 (d) Ratio of tidal power generation to electricity demand

610 Fig. 19 Electric power purchase price (commodity charge) during three seasons. Usage charges are
611 not included. System includes 100 sets of tidal power generators.

612 (a) With heat pump system
613 (b) With electric storage heater system

614 Fig. 20 Results of analysis of electricity charges and equipment costs.

615 (a) 20 sets of tidal power generators
616 (b) 100 sets of tidal power generators
617 (c) 200 sets of tidal power generators

618 Fig. 21 Example of the relationship between prediction error of power and heat demand, and
619 change in the commodity charge of power

620 Fig. 22 Example of the relationship between prediction error of tidal current power and change in
621 the commodity charge of power

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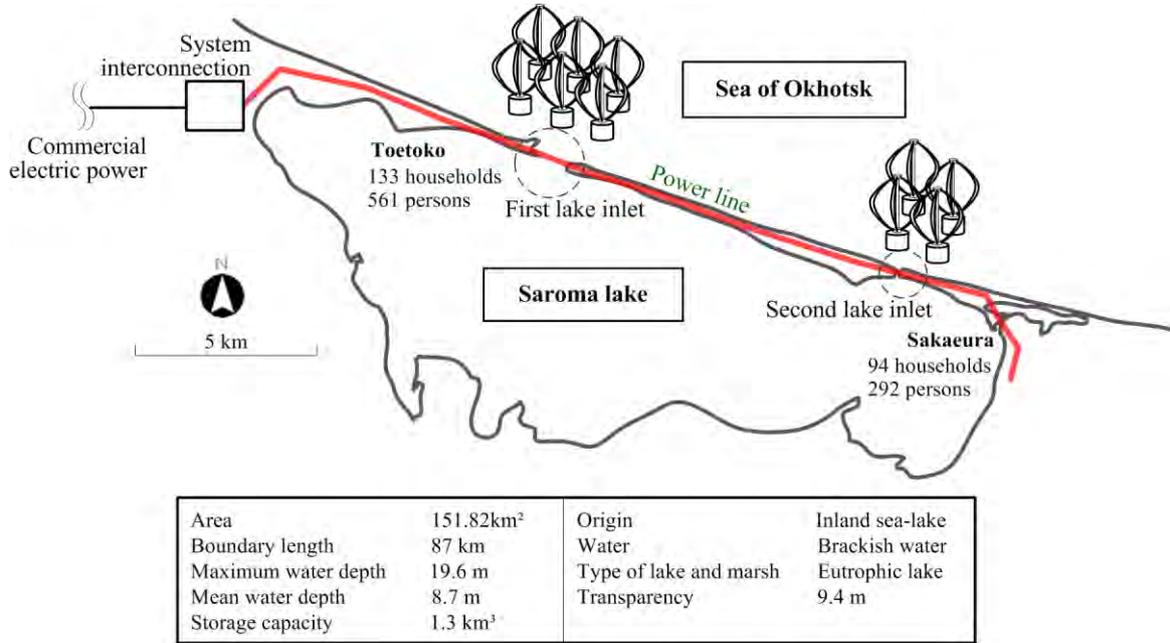
623 Table 1 Characteristics of the equipment

624 Table 2 Unit prices of equipment

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Fig. 1



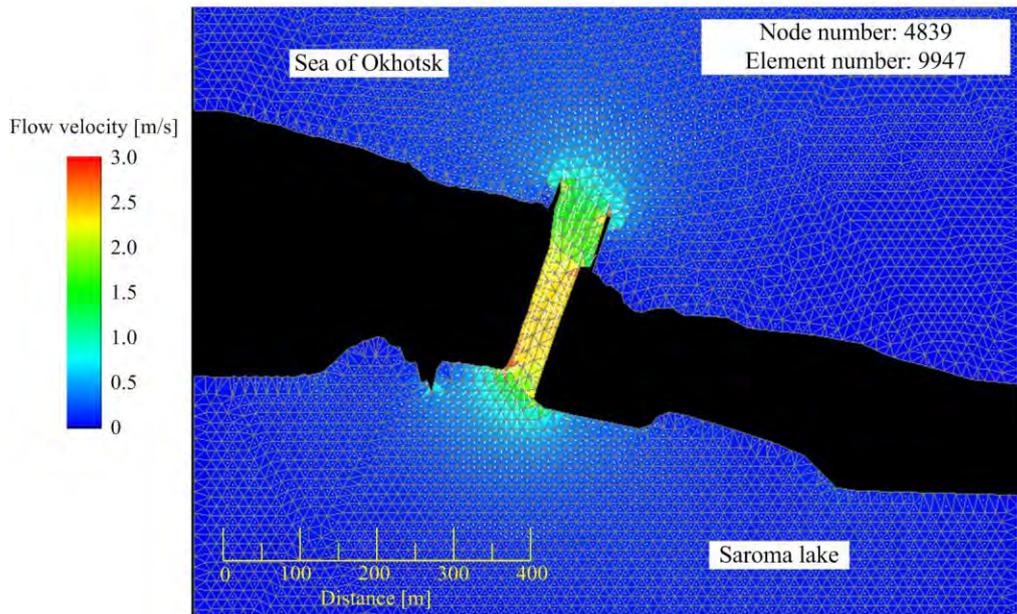
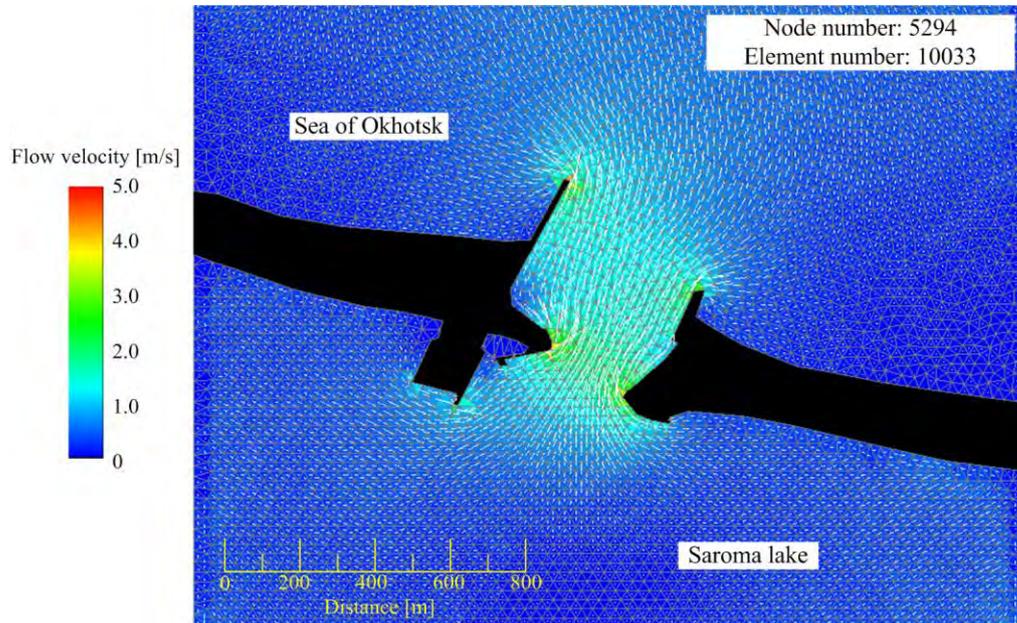
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Fig. 2

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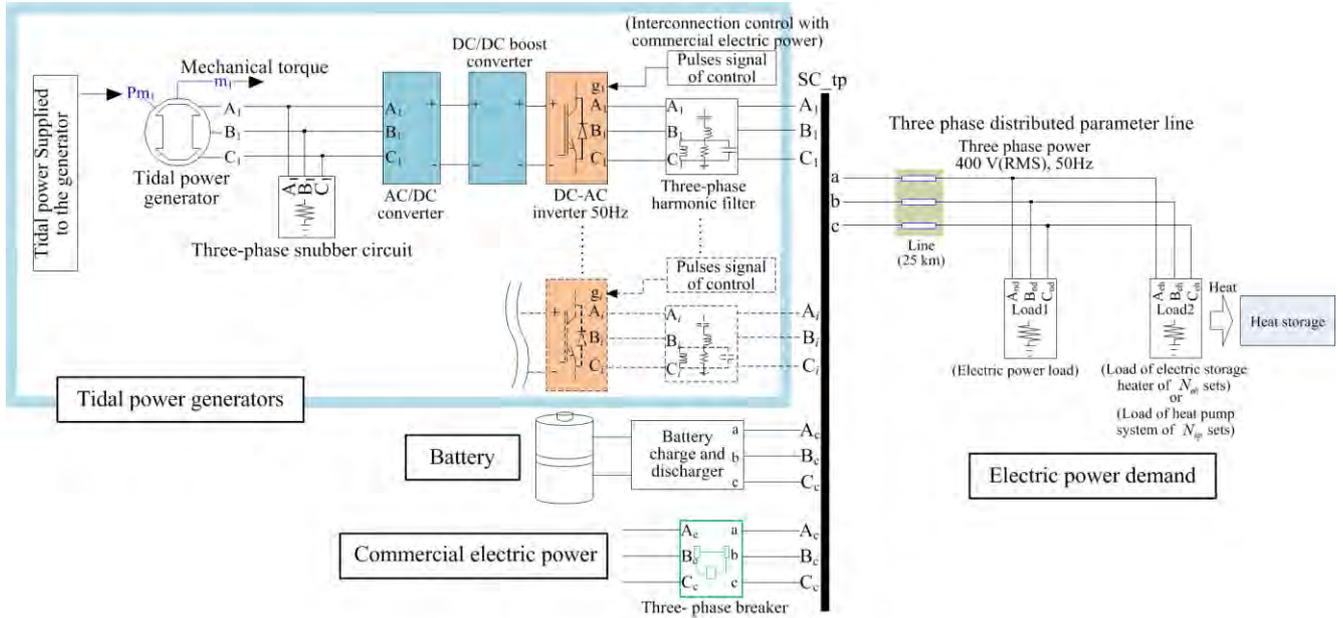
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Fig. 3

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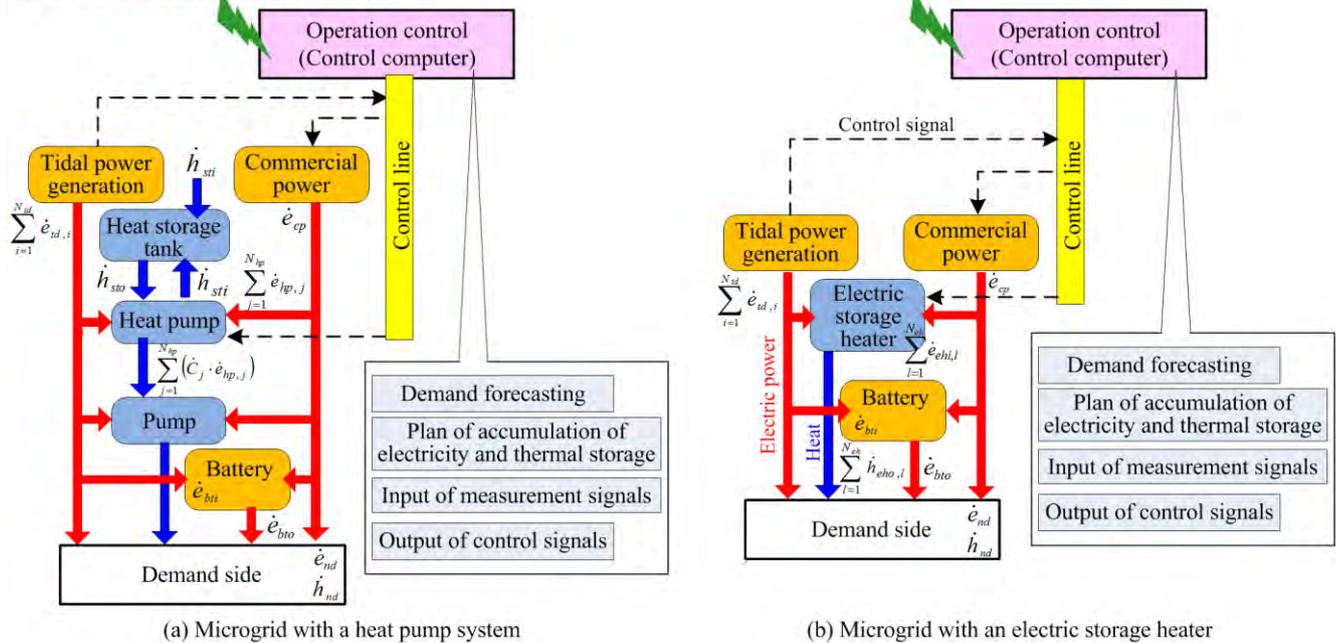
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Fig. 4

Assistance of the operation plan by information and communications
 Input of weather information on forecast



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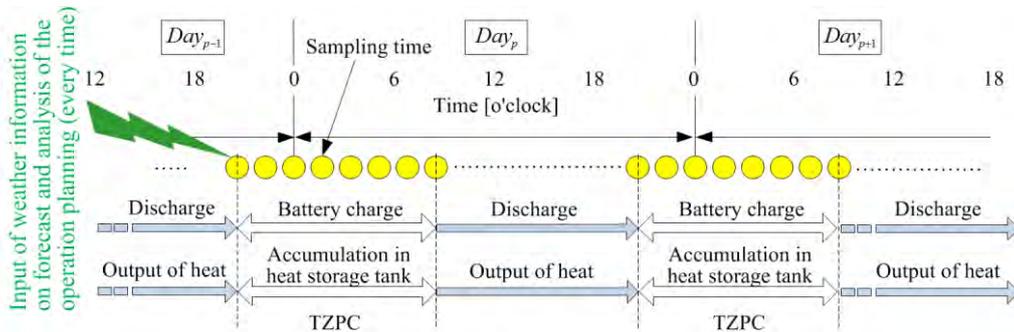
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Fig. 5

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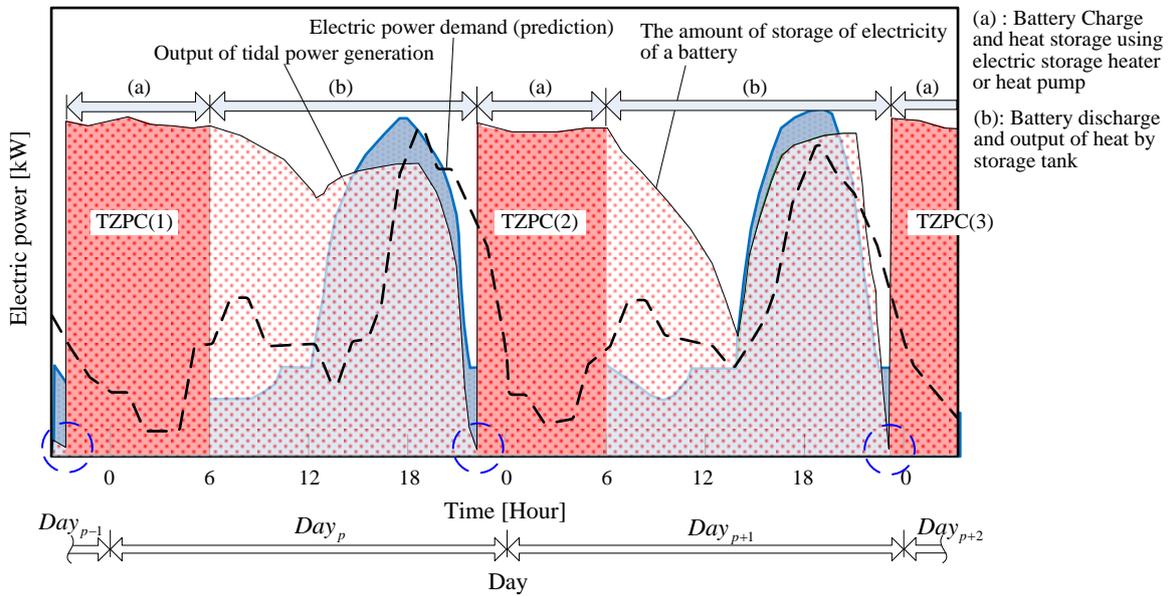
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Fig. 6

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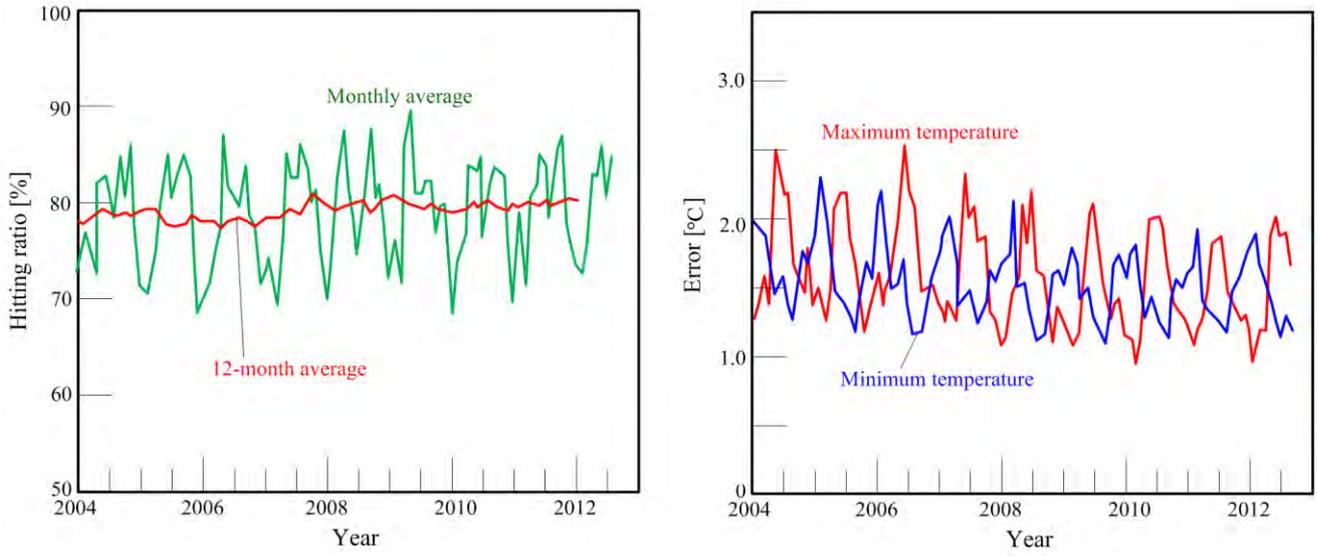
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Fig. 7



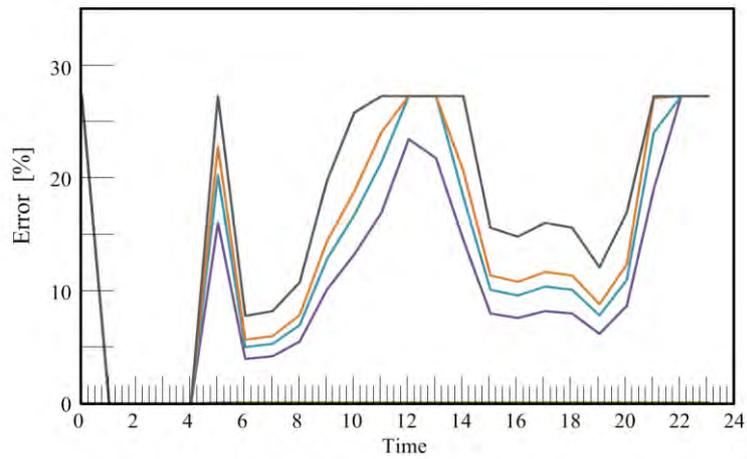
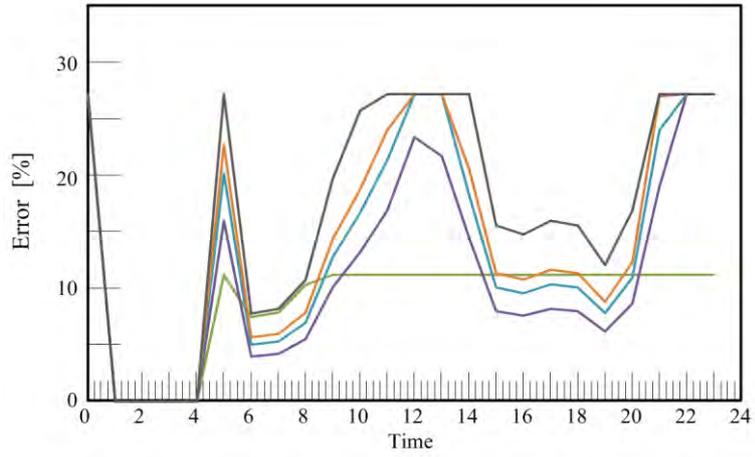
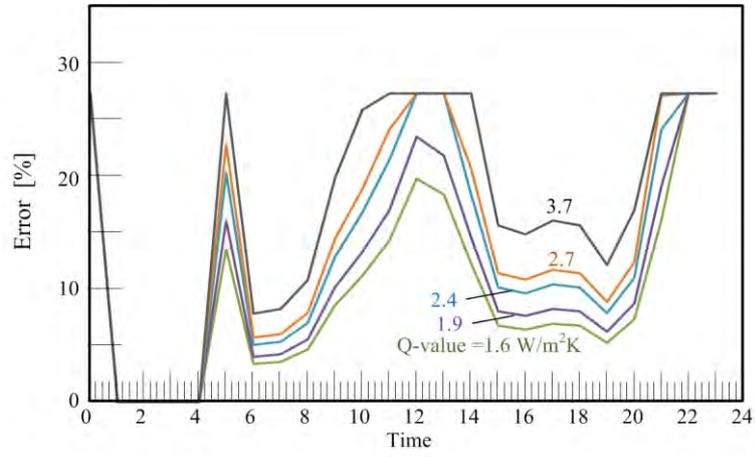
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Fig. 8



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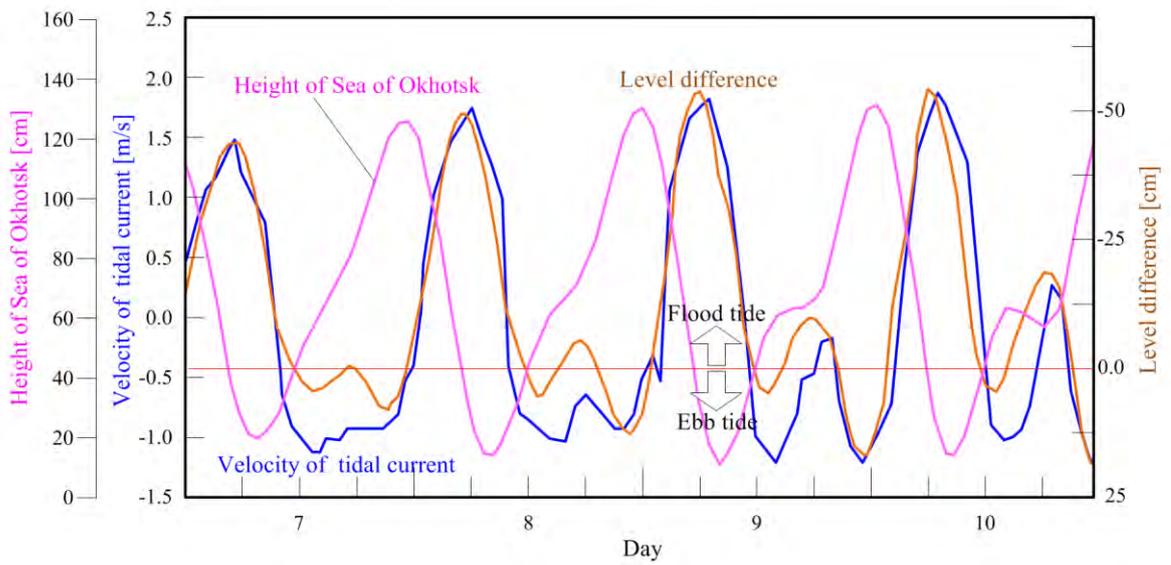
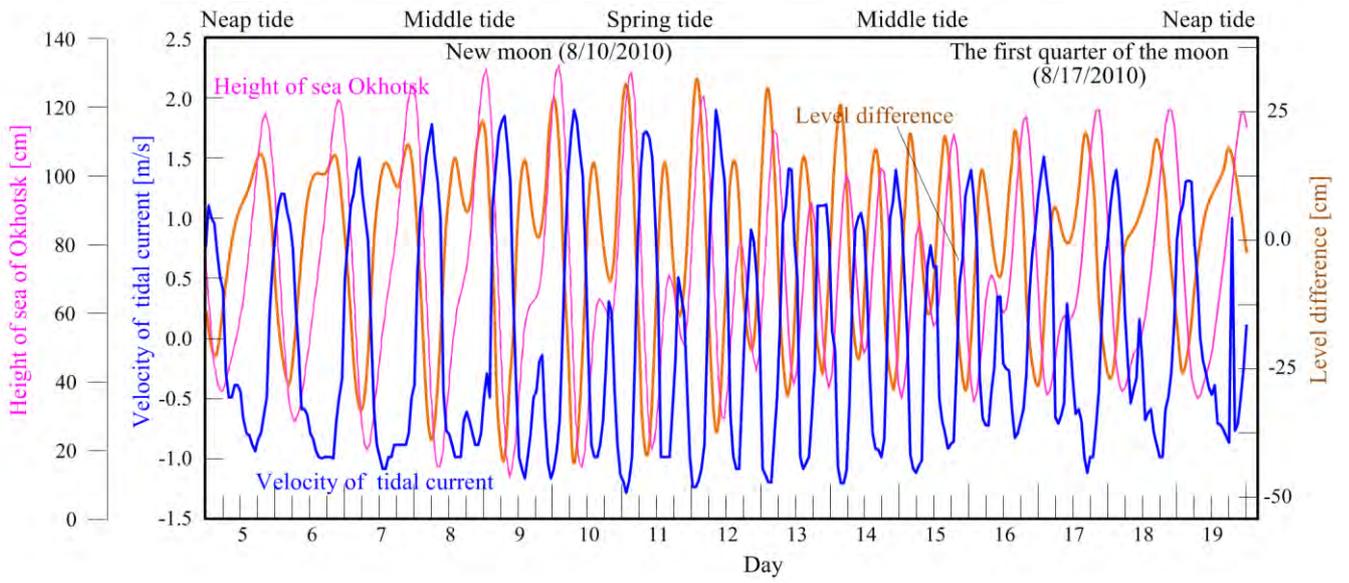
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Fig. 9

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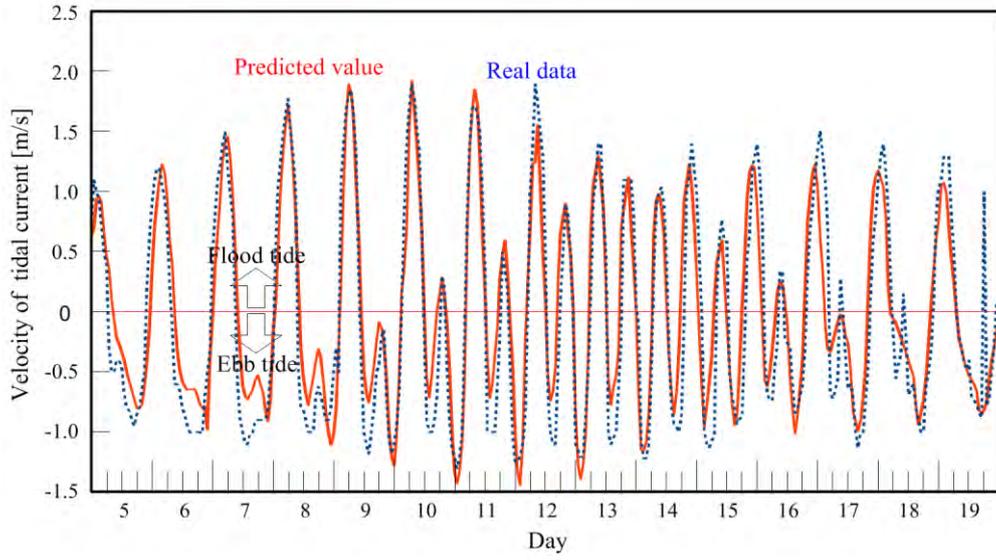
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Fig. 10

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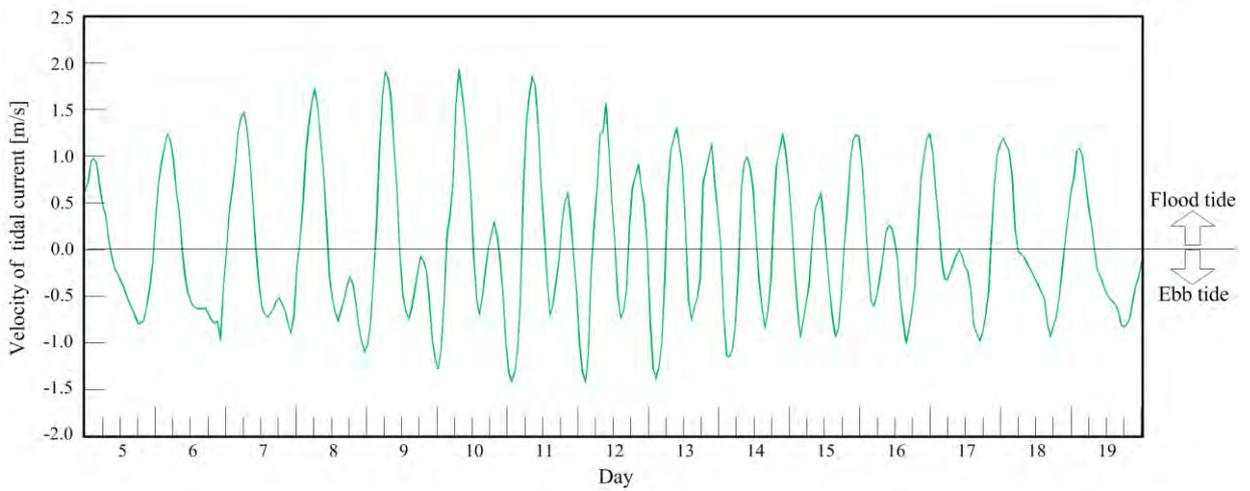
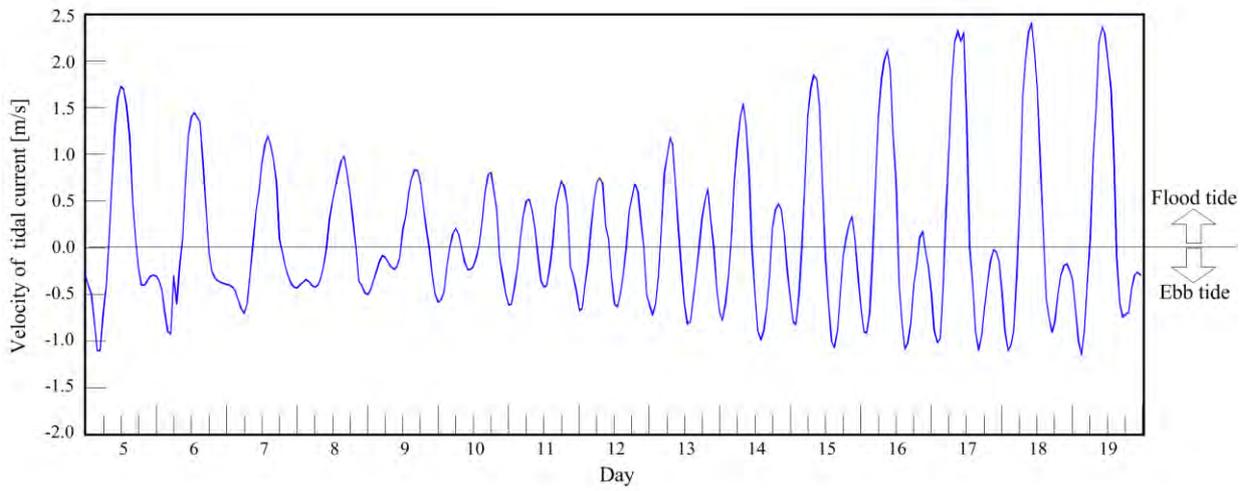
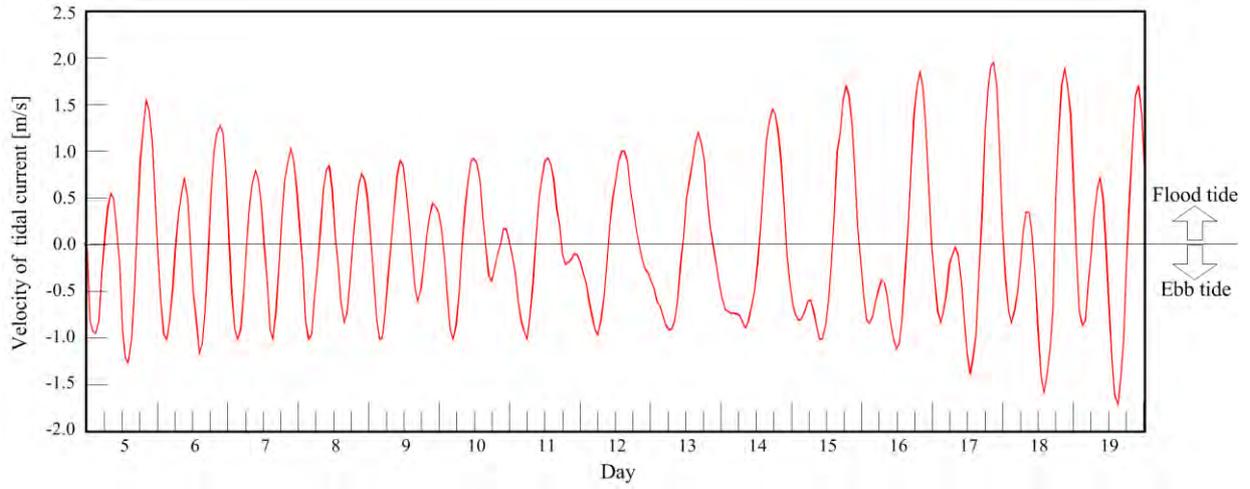
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Fig. 11

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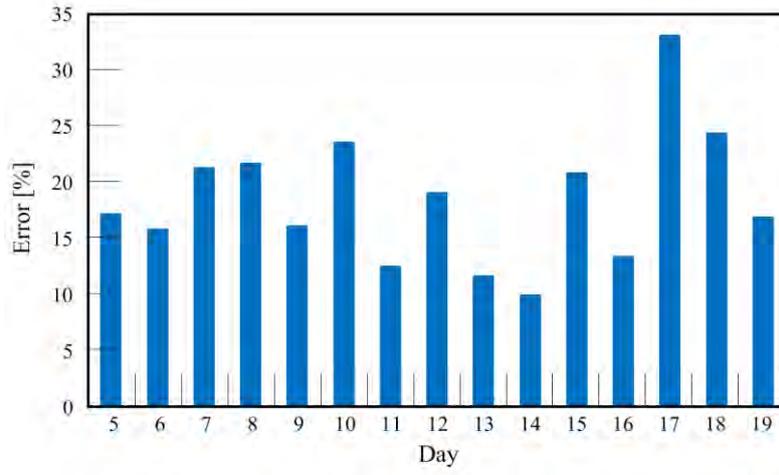
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Fig. 12

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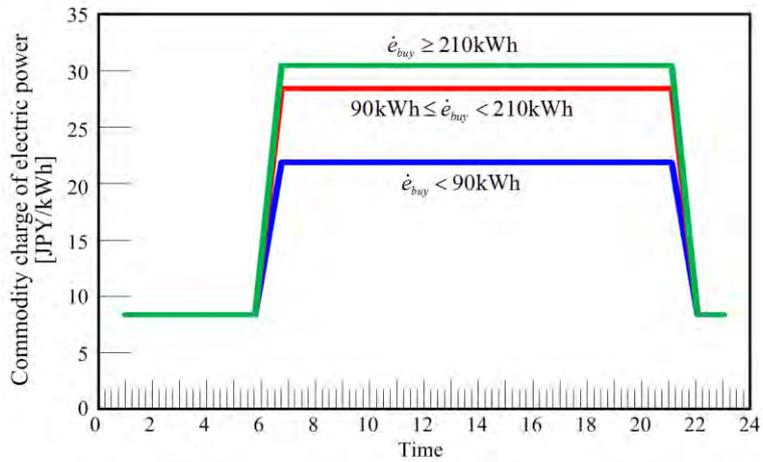
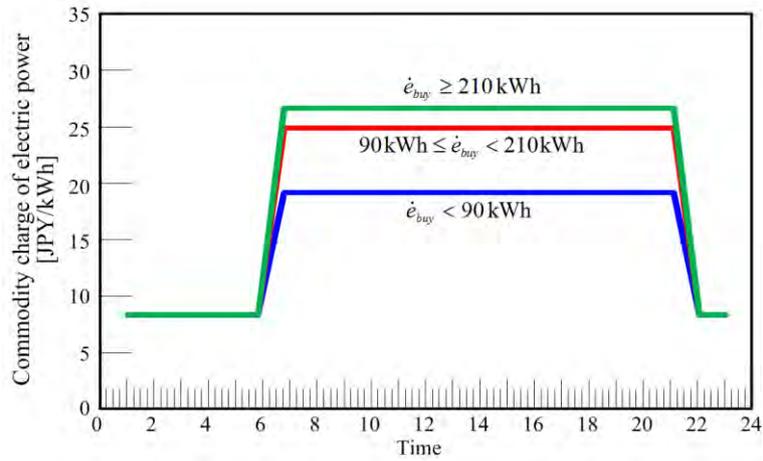
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Fig. 13

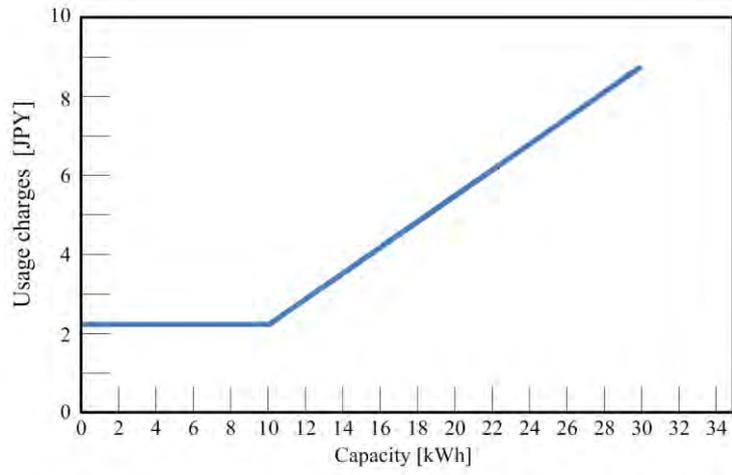
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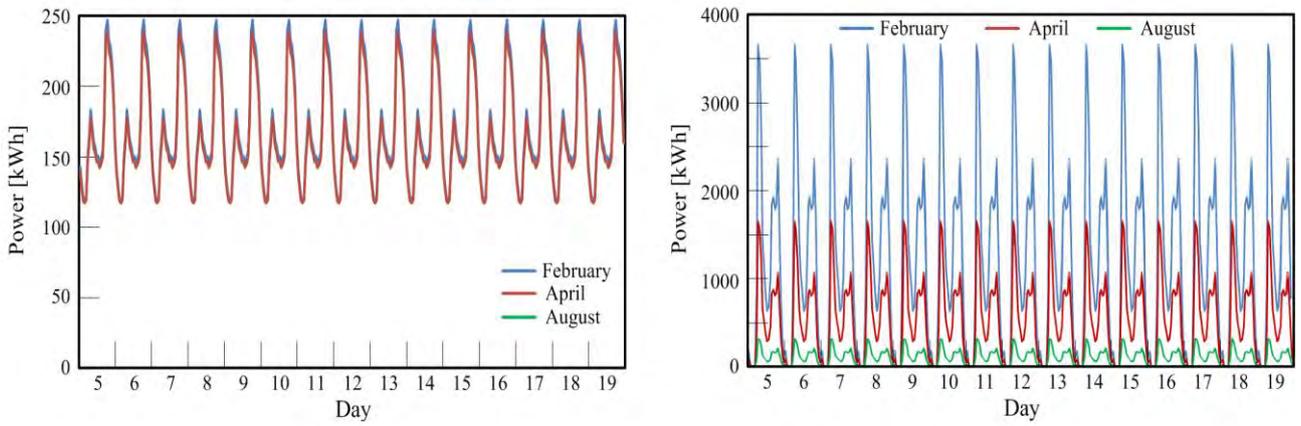
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Fig. 14



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Fig. 15

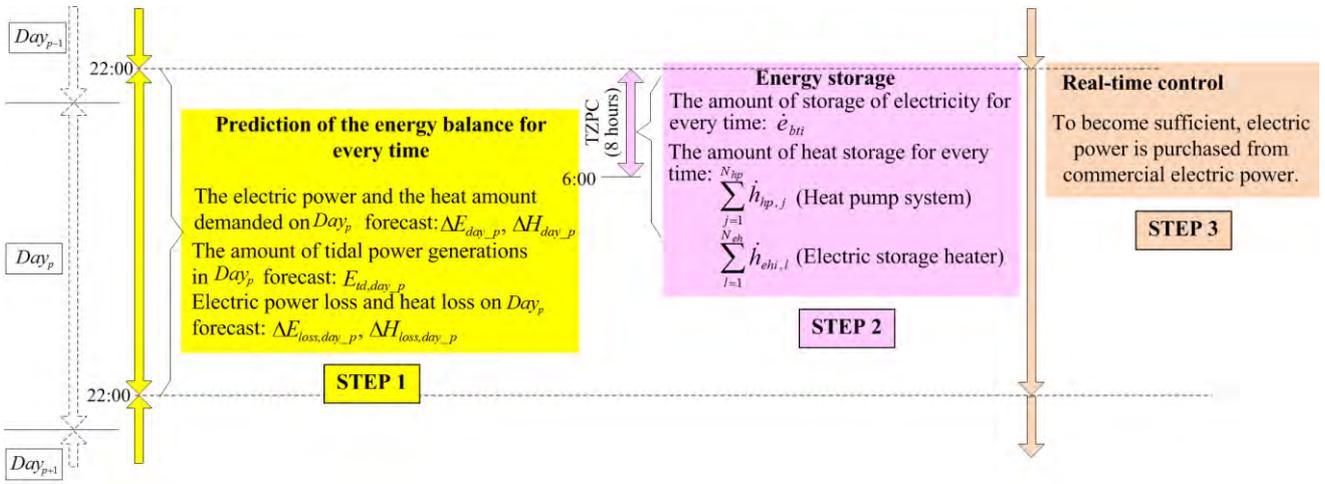


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Fig. 16

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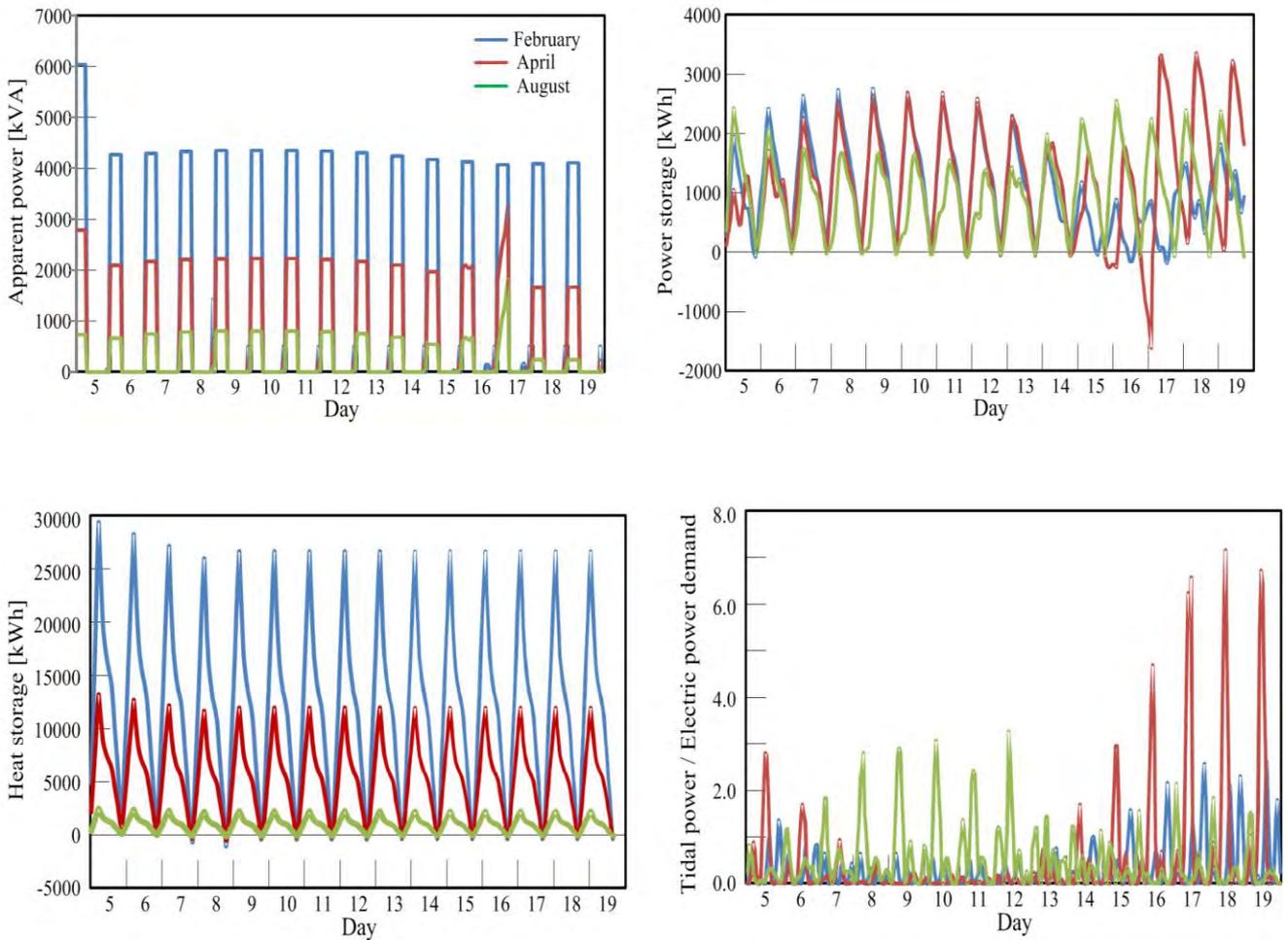
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Fig. 17



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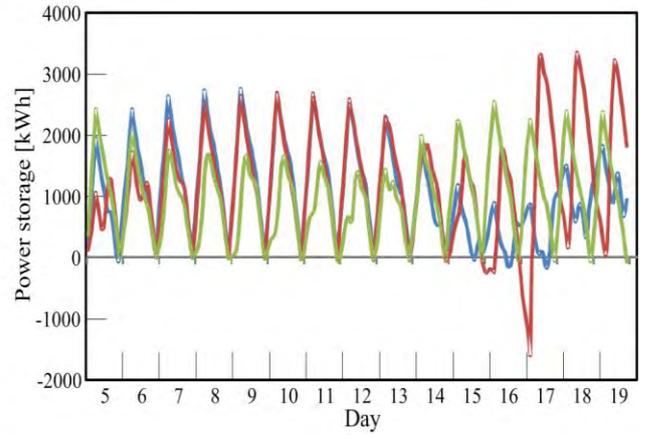
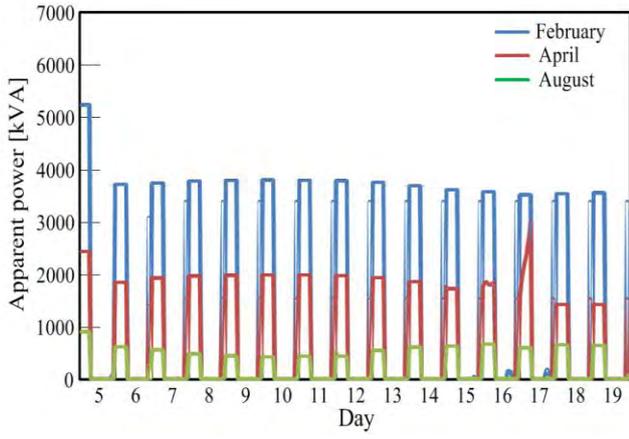
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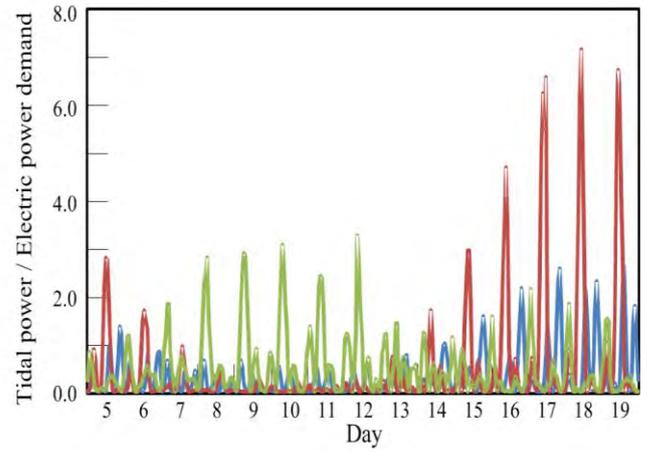
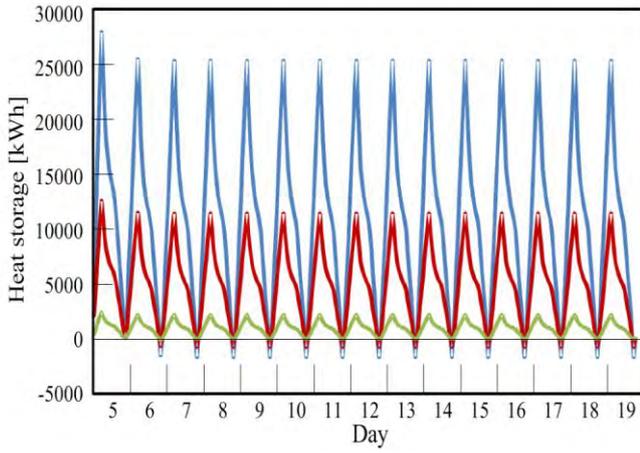
Fig. 18

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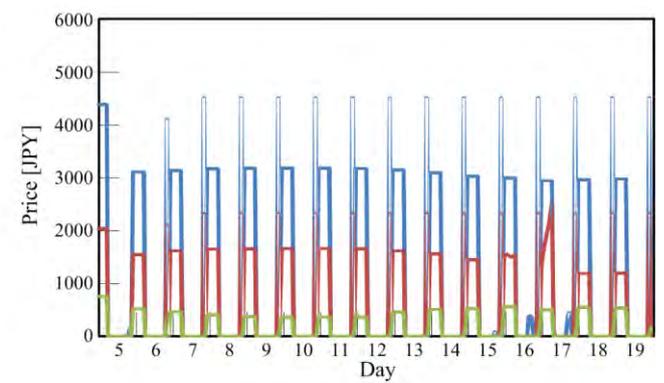
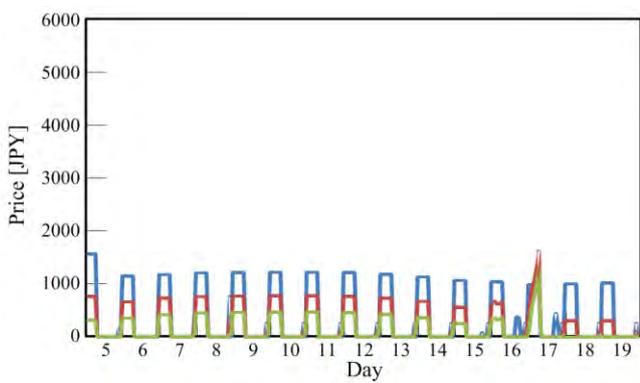
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Fig. 19

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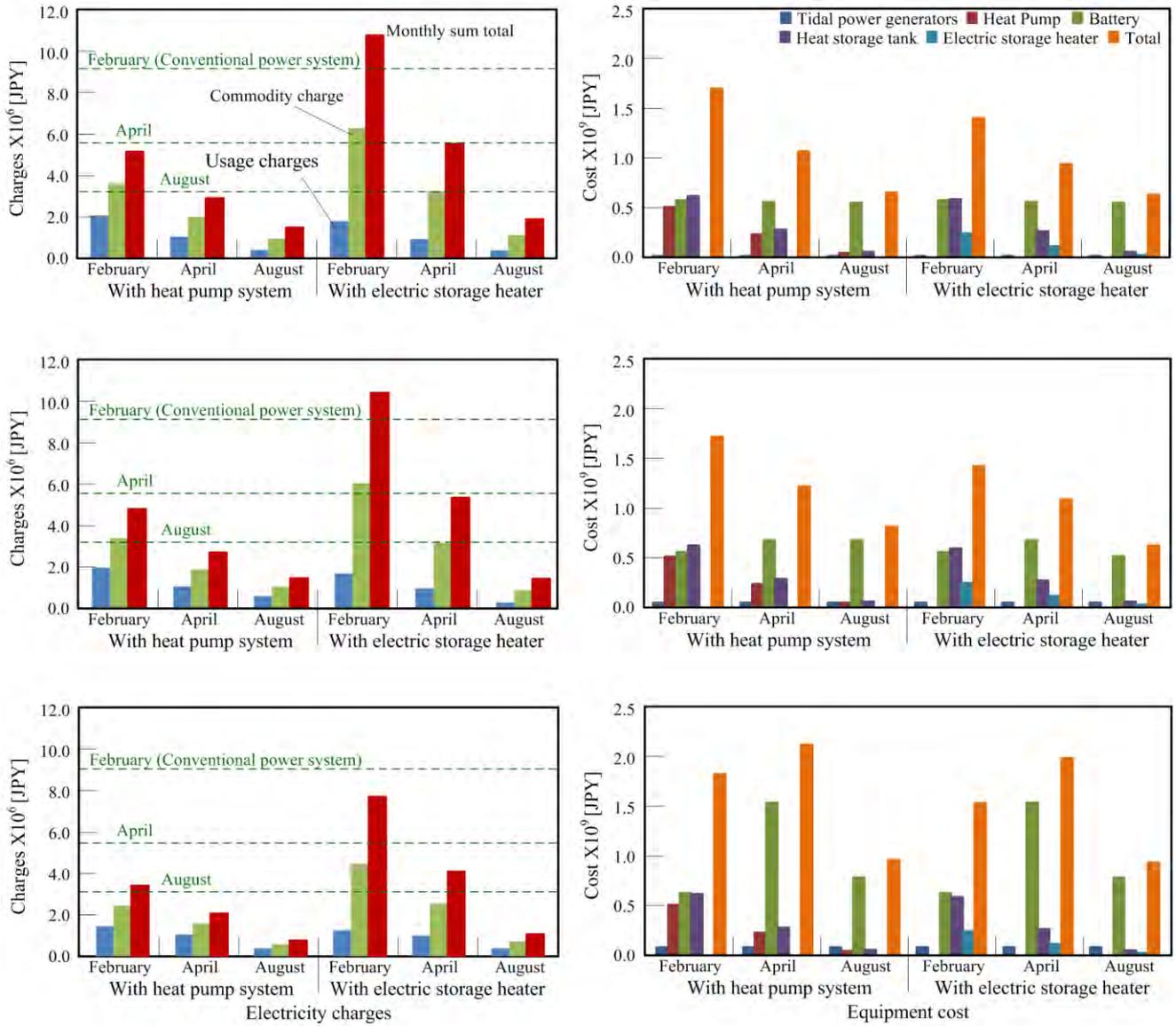


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Fig. 20

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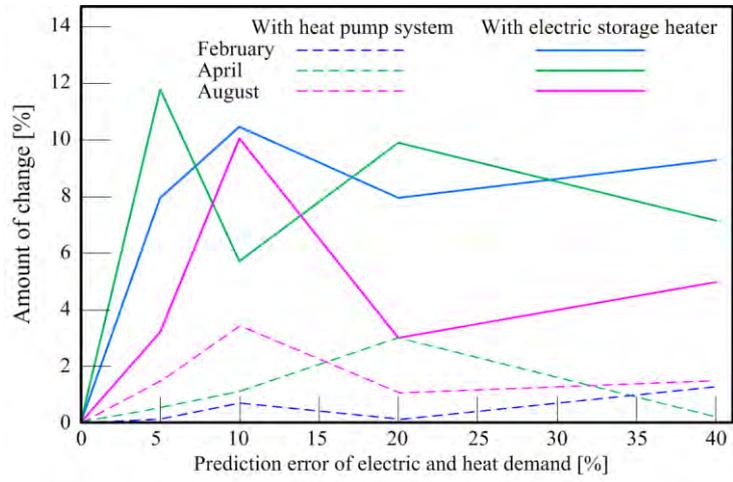
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Fig. 21

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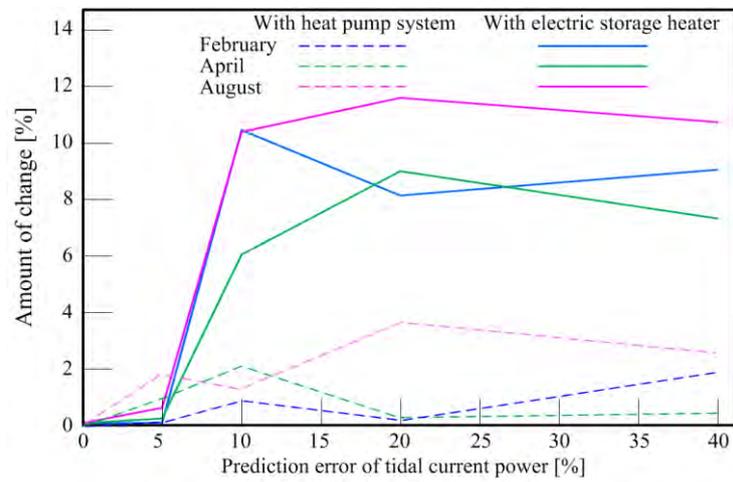
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Fig. 22

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Table 1

| | |
|---|-------------|
| Turbine efficiency: | 0.35 |
| Diameter of hydraulic turbine: | 2.4 m |
| Number of hydraulic turbine: | 20-200 sets |
| Loss of heat storage tank: | 5%/Day |
| Coefficient of charge and discharge of battery: | 0.85 |
| Self-discharge of battery: | 0.5%/Day |
| Power conditioner: | 0.9 |
| COP of heat pump: | 3.5 |
| Power factor of heat pump: | 0.9 |
| Power transmission loss of power grid: | 0.0 |
| Loss of heat supply to heat grid: | 0.0 |

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Table 2

| | |
|-------------------------|-----------------|
| Tidal power generator | 400,000 JPY/kW |
| Heat pump | 100,000 JPY/kW |
| Battery | 200,000 JPY/kWh |
| Heat storage tank | 21,000 JPY/kWh |
| Electric storage heater | 50,000 JPY/kWh |

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JPY = Japanese yen